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**Energetics of Electron Transfer at the Nanocrystalline Titanium Dioxide
Semiconductor/Aqueous Solution Interface: pH Invariance of the Metal Based
Formal Potential of a Representative Surface Attached Dye Couple**

Prepared for publication in *J. Phys. Chem.*, October, 1996.

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Mediator-based spectroelectrochemical assessment of the metal-centered formal potential (E_f^{Ru}) of a representative inorganic dye, $Ru(4,4'-(CH_2PO_3)_2-2,2'$ -bipyridine) $_3^{10+}$, bound to a nanocrystalline titanium dioxide film shows that the potential is insensitive to changes in solution pH, despite significant shifts in the conduction band edge energy (E_{CB}) of the underlying semiconductor electrode in response to the same environmental perturbations. The observations are important in the context of recent work showing that back electron reactivity for the same semiconductor/dye combination is pH independent over a 19 pH unit range, despite apparent changes in back reaction driving force of greater than 1.2 eV over the same interval (Yan, S.; Hupp, J. T. *J. Phys. Chem.* 1996, 100, 6867). In particular, the spectroelectrochemical findings serve to rule out a suggested alternative interpretation of the unusual kinetic effects whereby the crucial energy difference quantity, $E_{CB} - E_f^{Ru}$, remains fixed because of compensating changes in the dye potential with pH.

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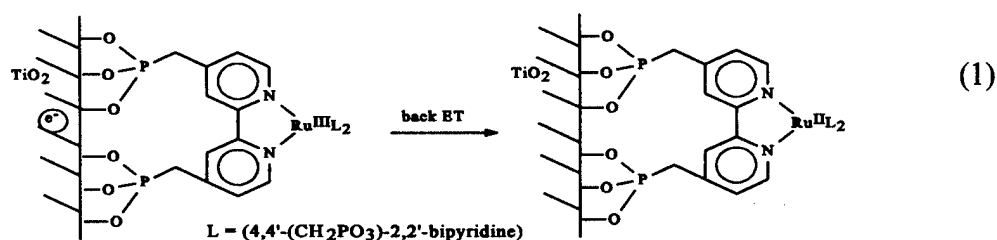
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Introduction

Inorganic dye sensitization of wide band gap semiconductors has attracted tremendous recent attention because of the possibility of relatively efficient visible light to electrical energy conversion in photoelectrochemical cells that employ these assemblies.¹ The assemblies are also of interest because of their ability to offer insight into the fundamental kinetics and dynamics of fast interfacial redox processes.² Recently we reported on the kinetics for back electron transfer from TiO_2 to a hexaphosphonated form of ruthenium tris bipyridine, following light-induced forward electron injection from a charge-transfer excited state of the metal complex.³

Hexaphosphonation served to facilitate strong surface attachment thereby permitting the reaction to be examined over an extremely wide pH range.^{3,4} The surprising finding was that the back ET kinetics were pH independent,³ despite the known Nernstian dependence of the TiO_2 conduction band edge energy (E_{CB}) on solution pH.⁵ Indeed, over the pH range examined the nominal strength of TiO_2 as a reductant varied by more than 1.2 eV.³ The striking decoupling of the ET kinetics from the pH-variable energetics was speculatively interpreted in terms of a sequential electron transfer/proton transfer process where: a) the isolated electron transfer event (pH independent) defined the rate-determining dynamics, but b) the combined processes (pH dependent) determined the overall reaction energetics. Supporting evidence for the interpretation was garnered from quartz crystal microbalance experiments which independently showed that proton transfer (intercalation) accompanies both electrochemical and photochemical addition of electrons to the titanium dioxide conduction band or nearby surface states.⁶



An interesting alternative interpretation of the pH-independent kinetics is that the formal potential for the ruthenium dye (E_f^{Ru}) acquires a pH dependence upon confinement to the semiconductor surface.⁷ If this pH dependence precisely followed the pH dependence of the conduction band edge, the difference quantity ($E_{\text{CB}} - E_f^{\text{Ru}}$) obviously would be pH independent. It would then likely be unnecessary to invoke sequential electron and proton transfer to account for the otherwise puzzling reaction kinetics. Candidate mechanisms for induction of pH-dependent dye energetics⁷ could include: a) strong electronic coupling between formally metal-dye-localized electronic states and the titanium dioxide conduction band, or b) coulombic perturbation of E_f^{Ru} by surface oxide/hydroxide protonation and deprotonation processes. The former seems highly unlikely in view of the six atom (seven bond) “bridge” separating the redox-active ruthenium center from surface titanium atoms in the phosphonated dye/semiconductor assembly (eq. 1). The latter mechanism is also problematic, however, unless the primary mechanism for conduction band edge energy tuning is also coulombic perturbation (i.e. essentially a double layer effect). We have suggested elsewhere that pH-based E_{CB} tuning is instead achieved primarily via a “Pourbaix” type effect where electron addition induces the intercalation of charge-compensating cations. In any case, evaluation of the pH dependence of the formal potential for a *surface bound* dye would provide an important test of the viability of the alternative explanation of the anomalous ET rate phenomenon.

We describe below a study of the pH dependence of E_f for a representative redox dye, $\text{Ru}(4,4'-(\text{CH}_2\text{PO}_3)-2,2'\text{-bipyridine})_3^{10-}$, bound to a high-area titanium dioxide electrode. The study shows that the potential is approximately pH independent, supporting our original contention and supporting – at least, indirectly – the Pourbaix interpretation of conduction band edge energy modulation.

Experimental Section

Materials. High-area nanocrystalline titanium dioxide electrodes were prepared in thin film form via the following procedure⁸ which is a variant of a method described by O'Regan, et al.⁹ Over a two minute period 30 ml of $\text{Ti}(\text{OCH}(\text{CH}_3)_2)_4$ (Aldrich) was added dropwise to a stirring solution of nitric acid (2.6 ml) in 375 ml deionized water. Stirring was continued for 2 hours at ambient temperature, followed by 8 hours at 80° C to evaporate the propanol formed. The resulting sol was heated for an additional 12 hours at 200° C in a stainless steel pressure vessel. Water was removed by rotary evaporation until a sol concentration of 160 g/l was achieved. Carbowax 20,000 (40% weight equivalent of TiO_2) was added to the concentrated sol and the mixture was stirred overnight. Films were prepared by spin coating the sol onto conductive glass (fluorine doped tin oxide; Asahi) followed by annealing for 1 hour in air at 400°C.

The ruthenium-based dye was prepared as a nominally hexaphosphoester species as previously described.^{3,10,11} Conversion to the diphosphonate was accomplished by acid catalyzed hydrolysis in 6 M aq. HCl (minutes). Solvent was then removed by rotary evaporation. The dye residue was redissolved in 5 mM HNO_3 in water (pH = 2.45). The surface attachment procedure consisted of soaking nanocrystalline film electrodes in the acidified dye solution for 24 hours.

$[\text{Fe}(\text{phen})_3](\text{PF}_6)_2$ (phen is 1,10-phenanthroline) was prepared by a literature method.¹²

Methods. Electrochemical measurements were initially made in a one compartment cell featuring either a titanium dioxide or indium-doped tin oxide ("conductive glass") working electrode, a platinum wire counter electrode and a saturated (NaCl) calomel reference electrode (s.s.c.e.). Potentials were controlled with a PAR 264A potentiostat. Cyclic voltammograms were recorded at 100 mV/s. Visible-region spectroelectrochemical measurements were made by using

an HP 8452A diode array spectrophotometer together with cofacial glass/conductive glass or titanium dioxide/conductive glass assemblies featuring $\sim 100\ \mu\text{m}$ teflon spacers. In the assemblies, only the conductive glass component was employed as a working electrode component. Filling of the assemblies was achieved via capillary action. Aqueous electrolyte solutions for studies at either pH 4 or 7, respectively, contained either 50 mM potassium hydrogen phthalate or 50 mM KH_2PO_4 , together with $\text{Fe}(\text{phen})_3^{2+}$ as a redox mediator. For measurements at other pH's, appropriate amounts of HNO_3 or NaOH were added. At the lowest pH's, however, the buffer component was omitted.

Results and Discussion

Formal potentials for the surface-bound ruthenium dye were initially estimated simply by recording cyclic voltammograms. The voltammetry experiments yielded small reversible surface-type waves whose potentials were invariant with pH over the range from 1 to 8, i.e. evidence for coupling to the pH dependent conduction band energetics of the titanium dioxide electrode was not found. A point of concern, however, is that in the potential region where dye oxidation occurs the semiconductor is nominally insulating. While the observed surface redox waves could be due to leakage currents associated with mid-gap states, they conceivably also could arise from oxidation and reduction of dye molecules that interact directly with the underlying conductive glass surface (for example, via pin hole defects). In view of the typically small sizes of the waves and the correspondingly small amount of dye oxidized, the pin hole interpretation is difficult to discount. Consequently, we sought an alternative method that would enable us to determine the average potential for the entire collection of dye molecules residing on an insulating substrate.

Figure 1 illustrates the second approach. Surface bound dye molecules are indirectly addressed via interactions with a freely diffusing redox mediator, $\text{Fe}(\text{phen})_3^{3+/2+}$. This mediator

was chosen because of the proximity of its formal potential (0.83 V) to the anticipated dye potential. The exact potential of the dye was determined spectrally by: a) poisoning the thin-layer cell solution at a predetermined potential, b) allowing the surface-bound dye to equilibrate with the poised mediator solution, c) determining spectrally the fractions, $f(\text{III})$ and $f(\text{II})$, of dye in oxidized and reduced form respectively, and d) repeating steps *a-c* at a new potential. The resulting data were then fit graphically to the Nernst equation written in the following form:¹³

$$E_f^{\text{Ru}} = E(\text{poised}) - (RT/F) \ln \{f(\text{III})/f(\text{II})\} \quad (2)$$

Interference from the mediator was avoided both via the offset of the mediator absorption ($\lambda_{\text{max}} = 550 \text{ nm}$) from the dye absorption ($\lambda_{\text{max}} = 460 \text{ nm}$) and by utilizing an extremely short solution pathlength.

Figure 2 shows a representative series of filmed-based spectral plots. Figure 3 illustrates how the resulting film absorbance varies as a function of the potential of the mediator solution. Figure 4 shows a graph based on eq. 2, where the *y* intercept provides a measure of E_f^{Ru} . Finally, Figure 5 summarizes the results of several such measurements over the pH range of 1.1 to 8.75. Attempts to extend the experiments to pH's of -1 and -4 (sulfuric acid solutions) were frustrated by decomposition of the mediator, while attempts to extend the measurements to pH = 10 were circumvented by dye desorption. Nevertheless, over the accessible solution compositional range the formal potential of the surface bound dye is essentially fully pH independent, despite demonstrated shifts in E_{CB} of ca. -450 mV over the same pH range.^{3,15}

Coupling of E_f^{Ru} to E_{CB} would have been expected if pH-dependent variations in the latter were determined primarily by simple Coulombic effects arising from surface oxide and

hydroxide protonation and deprotonation equilibria. On the other hand, if E_{CB} were instead modulated primarily via stoichiometric proton intercalation following electron addition,⁶ little or no coupling to E_f^{Ru} would be expected. Regardless of the expectations, however, the experimentally observed invariance of E_f^{Ru} with respect to solution pH serves to rule out the trivial “energy compensation” explanation (see introduction) for the remarkable insensitivity of previously reported³ back electron transfer rates (titanium dioxide to attached Ru(III)L₃) to pH-induced changes in E_{CB} .

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 11. ¹H NMR characterization of a previous preparation showed evidence for hydrolysis of some of the phosphoester functionalities prior to intentional acid-catalyzed hydrolysis.³
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 13. As expected if the spectroelectrochemical methodology were functioning reliably, the value obtained for E_f^{Ru} was unaffected by replacement of the mediator by a second mediator couple $(\text{Fe}(5\text{-chloro-1,10-phenanthroline})_3^{2+/3+})^{12}$ displaying a different formal potential.
 14. The required $f(\text{II})$ and $f(\text{III})$ values were obtained from Figure 3 by assuming that $f(\text{III})$ is unity at the most positive potential and that $f(\text{II})$ is unity at the least positive potentials. This approach corrects for errors due to incorrect background subtraction, residual absorbance by inaccessible Ru(II) and/or residual absorbance by Ru(III).
 15. An approximately pH-invariant surface formal potential was also observed (via mediated spectroelectrochemistry) for a second complex featuring a pair of 5-nitro-1,10-phenanthroline ligands in place of 2 of the 3 available diphosphonated bipyridine ligands.

Figure Captions

1. Schematic depiction of thin-layer spectroelectrochemical cell and associated redox mediation scheme.
2. Dye-functionalized thin-layer cell absorbances versus a blank cell lacking the dye but containing a titanium dioxide film. Absorbance differences are shown at pH = 4 and are recorded with the mediator solution poised at 0.3 (top curve), 0.8, 0.85, 0.9, 0.95, 1.0, 1.1 and 1.3 V (bottom curve).
3. Absorbance changes at 460 nm versus poised potential of thin-layer mediator solution at pH = 4.
4. Plot of $\log \{f(\text{III})/f(\text{II})\}$ versus poised potential of thin-layer mediator solution at pH = 4. From the y intercept, E_f^{Ru} is 0.88 V vs. s.s.c.e.
5. Plot of spectrally determined formal potential for the titanium-dioxide-immobilized ruthenium dye (see Figure 4) versus solution pH. Line drawn is a best fit line (slope = +5 mV per pH unit).

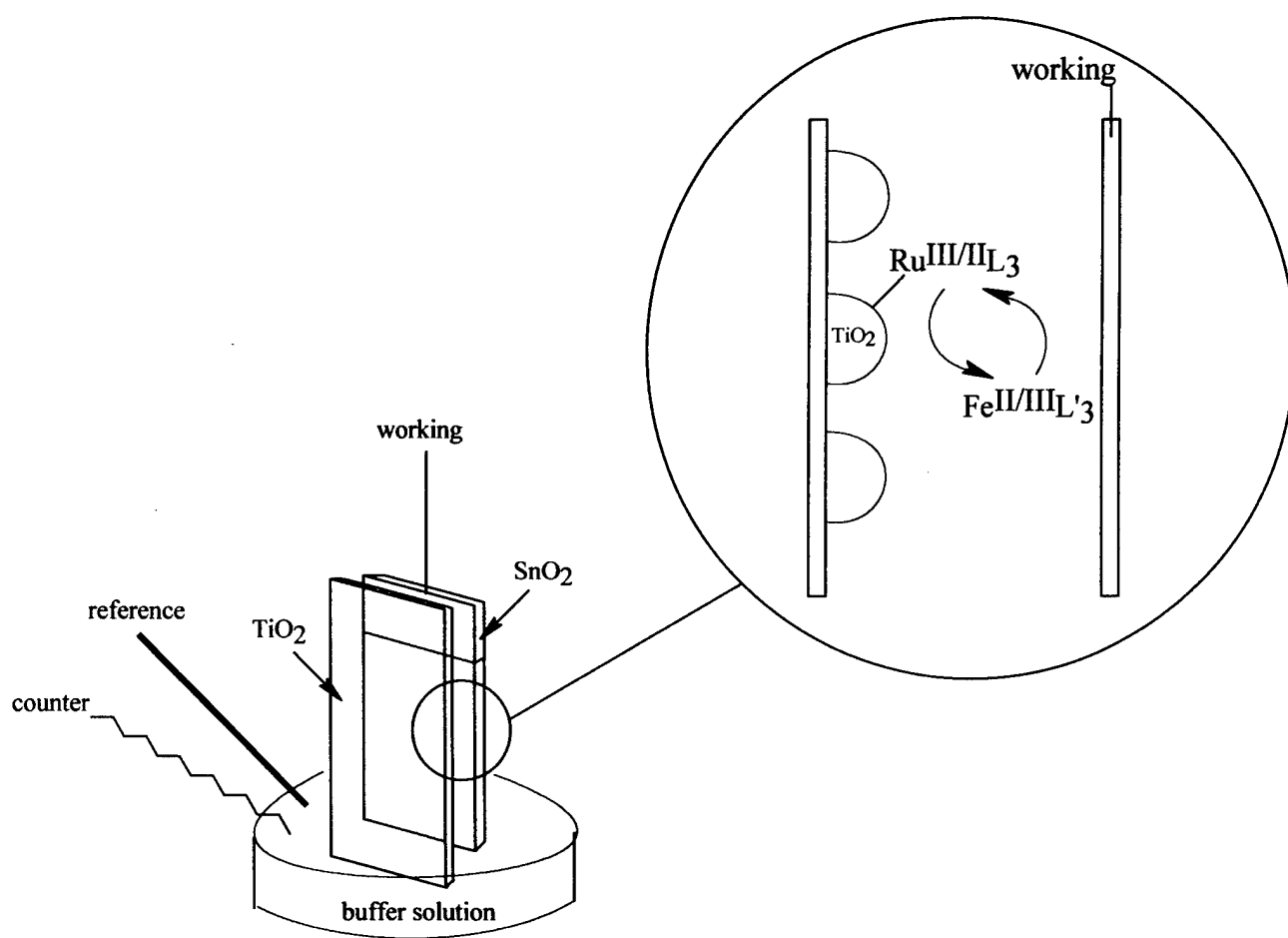


Fig. 1

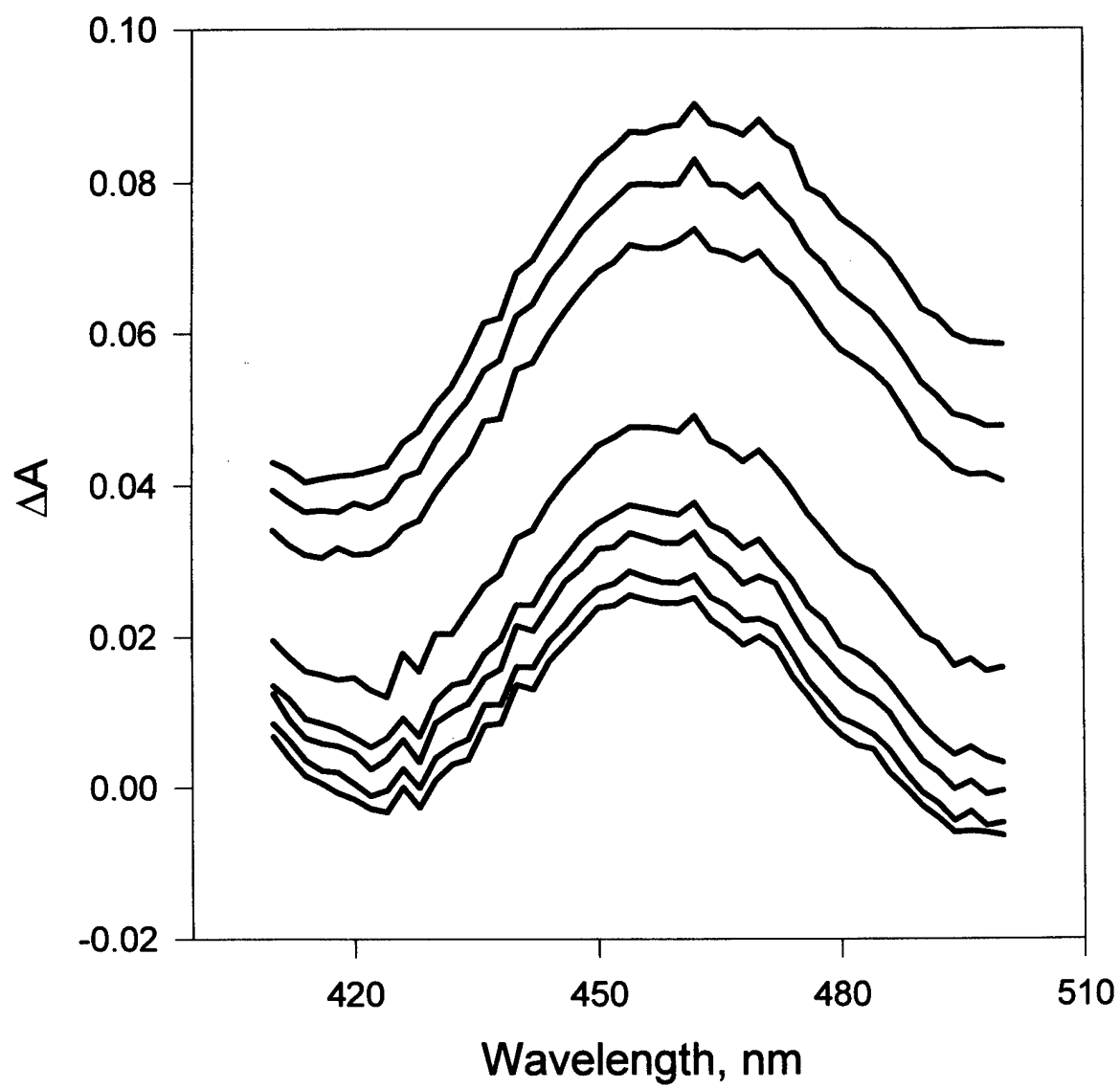


Fig. 2

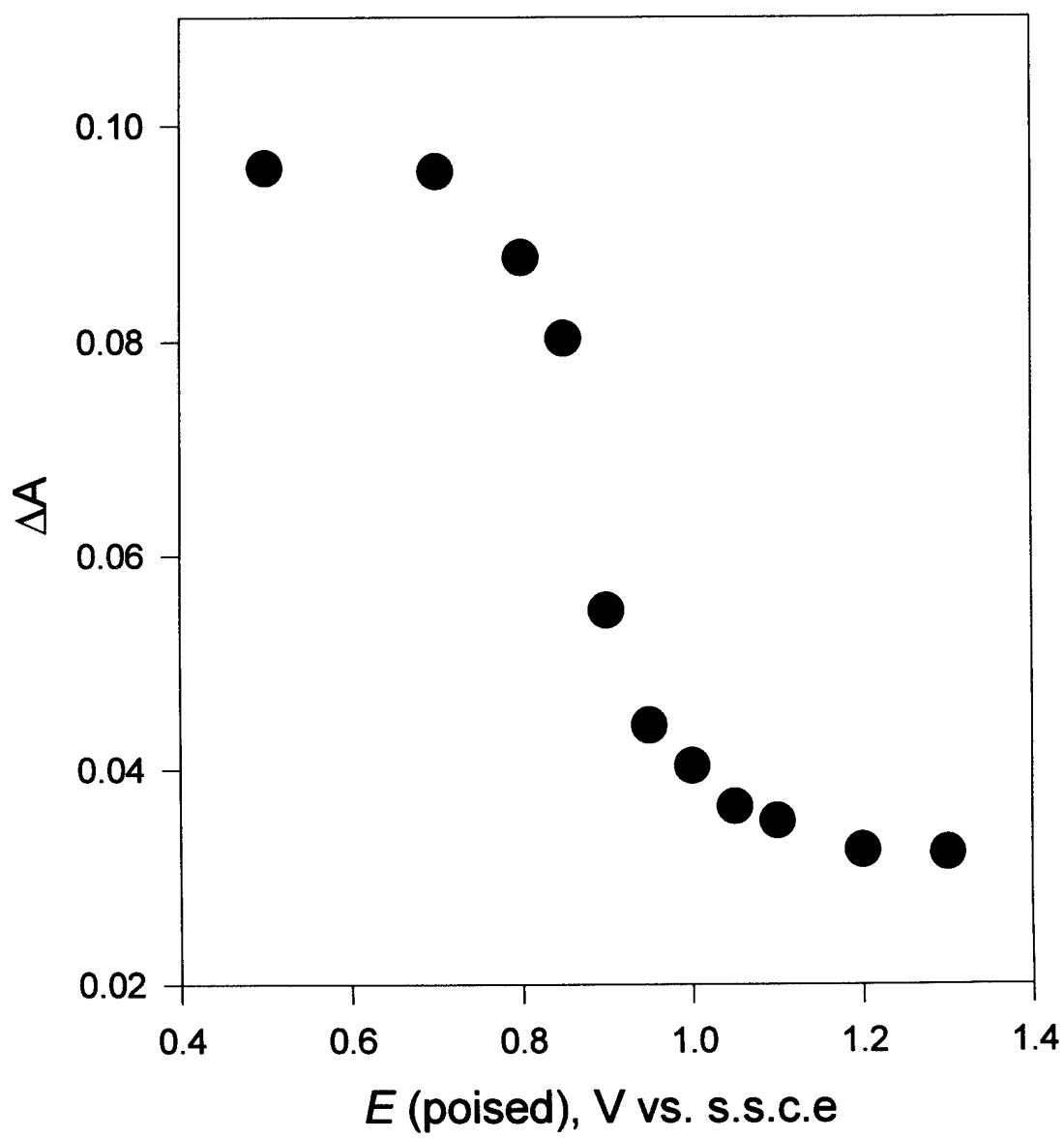


Fig.3

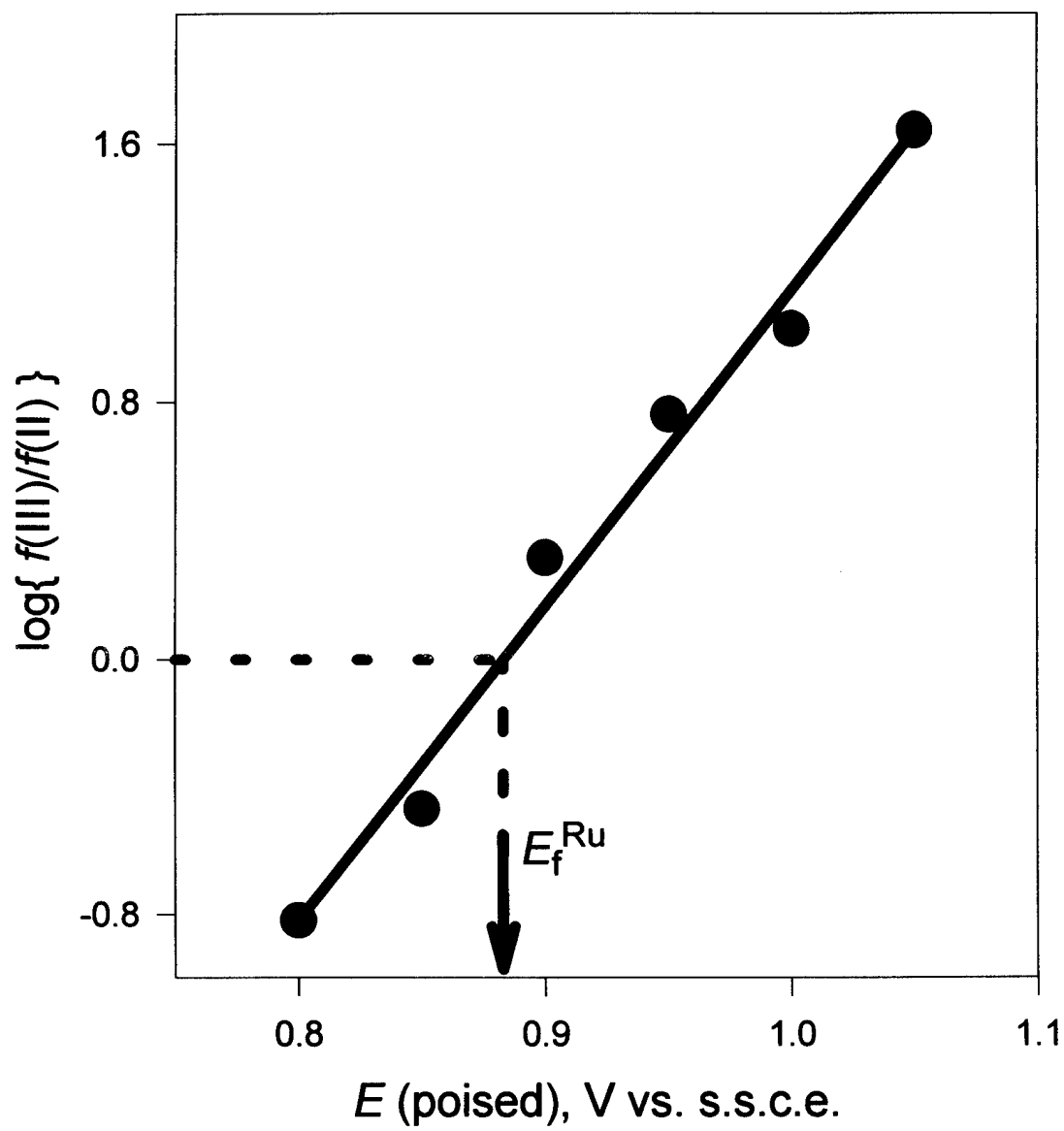


Fig. 4

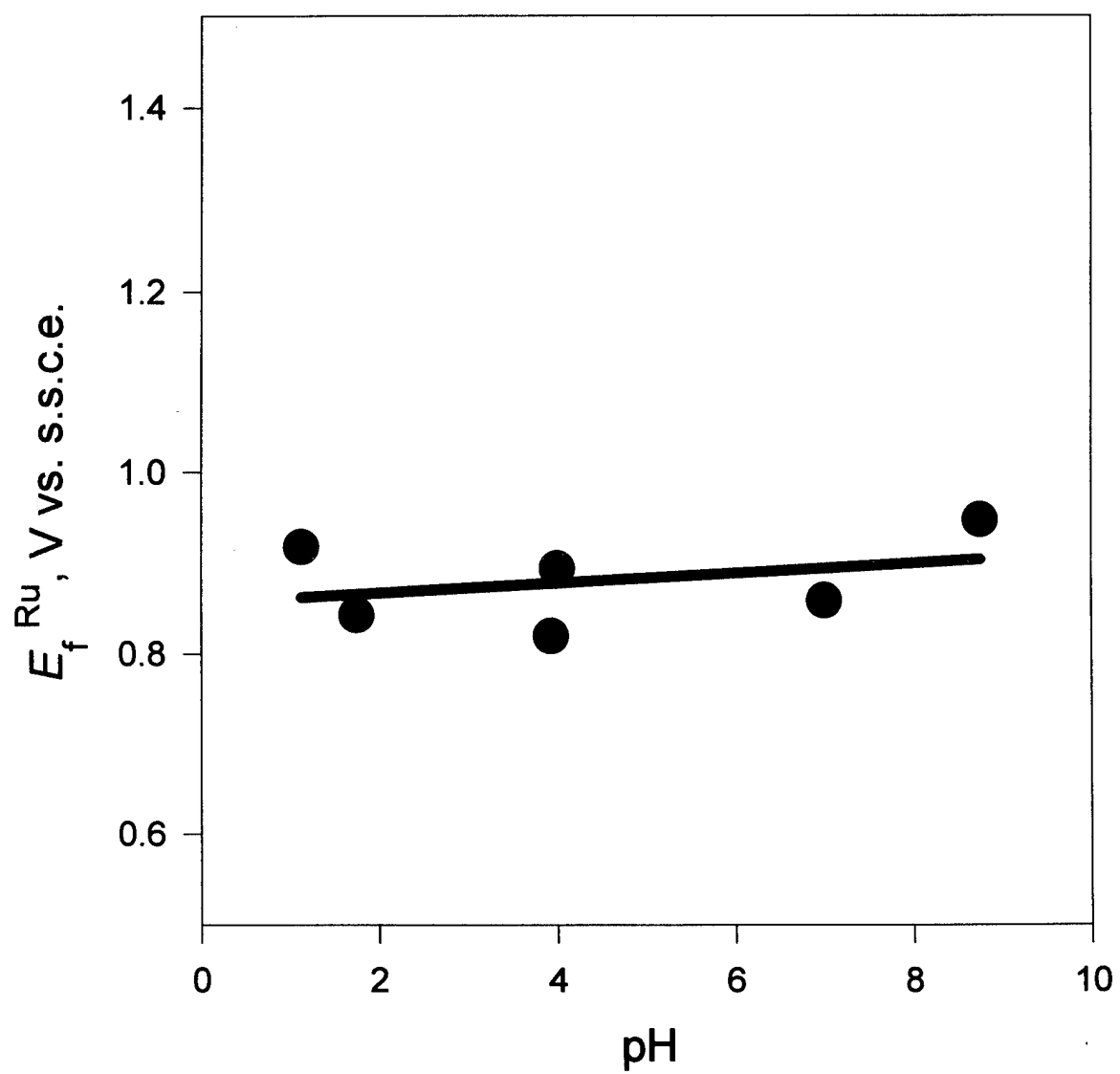


Fig. 5